

09/673473

patent application serial no.

Department of Commerce  
Patent and Trademark Office  
fee record

10/10/2000 AGIZAW 00000066 09673473

01 FC:970 860.00 OP  
02 FC:966 18.00 OP

Adjustment date: 12/14/2000 ERIMANDO  
10/18/2000 AGIZAW 00000066 09673473

01 FC:970 860.00 OP  
02 FC:966 18.00 OP

12/14/2000 ERIMANDO 00000041 09673473

01 FC:971 430.00 OP  
02 FC:967 9.00 OP

Repln. Ref: 12/14/2000 ERIMANDO 0008243100  
DA#:140112 Name/Number:09673473  
FC: 704 439.00 CR

09/673473

BOX PCT

Attorney Docket No. 24406

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CURRY, Kenneth; PAJOUHESH, Hassan

International Application No. PCT/CA99/00311

Serial No. NOT YET ASSIGNED

International Filing Date: 19 April 1999 (19.04.99)

Filed: October 16, 2000For: CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR  
AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION

414 Rec'd PCT/PTO 16 OCT 2000

TRANSMITTAL LETTERThe Assistant Commissioner for Patents  
Washington, D.C. 20231

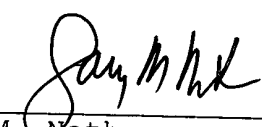
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark  
Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office  
(DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 99/54280  
International Publication Date: 28 October 1999 (28.10.99)  
which consists of 42 pages including:
  - 1 Cover sheet containing the Abstract
  - 36 pages Textual Specification
  - 4 Pages of 10 claims
  - 1 Sheet of Drawings
- (4) Preliminary Amendment
- (5) Unexecuted Declaration
- (6) PCT/IPEA/408 Written Opinion
- (7) Response to Written Opinion dated July 19, 2000
- (8) PCT/ISA/210 International Search Report
- (9) PCT/RO/101 Request
- (10) PCT/IPEA/401 Demand
- (11) PCT/IPEA/402 Notification of Receipt of Demand by Competent  
International Preliminary Examining Authority
- (12) Check No. 13568 \$878.00 for Government Filing Fee
- (13) Postcard for early notification of serial number.

Respectfully submitted,  
NATH & ASSOCIATES PLLC

By:

  
Gary M. Nath  
Registration No. 26,965  
Customer No. 20529Date: October 16, 2000  
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Washington, D.C. 20005  
GMN/TLJ/JBG/dd:PCTappl.trans

ATTORNEY'S DOCKET NO: 24406

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <u>16</u> October 2000 ( <u>16</u> 10.2000)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. App. No. (if known): Not Yet Assigned: <b>09/673473</b>
INTERNATIONAL APPLICATION NO.: PCT/CA99/00311	INTERNATIONAL FILING DATE: 19 April 1999 (19.04.99)	PRIORITY DATE CLAIMED: 17 April 1998 (17.07.98)
TITLE OF INVENTION: CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION		
APPLICANT(S) FOR DO/EO/US: CURRY, Kenneth; PAJOUHESH, Hassan		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <u>X</u> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <u>  </u> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <u>X</u> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <u>X</u> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <u>X</u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <u>X</u> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <u>  </u> has been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <u>  </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <u>  </u> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <u>X</u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <u>  </u> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <u>  </u> have been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <u>  </u> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <u>X</u> have not been made and will not be made.</p> <p>8. <u>  </u> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <u>  </u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <u>  </u> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <u>  </u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <u>  </u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <u>X</u> A <b>FIRST</b> preliminary amendment.</p> <p style="margin-left: 40px;"><u>  </u> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>14. <u>  </u> A substitute specification.</p> <p>15. <u>  </u> A change of power of attorney and/or address letter.</p> <p>16. <u>X</u> TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION WO99/54280; INTERNATIONAL PUBLICATION DATE 28 OCTOBER 1999; APPLICATION CONSISTING OF 42 PAGES INCLUDING; 36 PAGES TEXTUAL SPECIFICATION, 4 PAGES OF 10 CLAIMS; 1 COVER SHEET CONTAINING THE ABSTRACT; 1 SHEET DRAWINGS; PRELIMINARY AMENDMENT; UNEXECUTED INVENTOR'S DECLARATION; PCT/IPEA/408 WRITTEN OPINION; RESPONSE TO WRITTEN OPINION DATED JULY 19, 2000; PCT/ISA/210 INTERNATIONAL SEARCH REPORT; PCT/RO/101 REQUEST/ PCT/IPEA/401 DEMAND; PCT/IPEA/402 NOTIFICATION OF RECEIPT OF DEMAND BY COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY.</p>		

ATTORNEY'S DOCKET NO: 24406

U.S. APPLICATION NO. Not yet assigned <b>09/673473</b>	INTERNATIONAL APPLICATION NO. PCT/ CA99/00311	DATE: <b>16</b> October 2000 ( <b>16</b> .10.2000)
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO:.....\$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1000.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>	<u>CALCULATIONS</u>  \$ 860.00            \$ 860.00	<u>PTO USE ONLY</u>
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Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$	
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CLAIMS	NO. FILED	NO. EXTRA	RATE		
TOTAL	21 -20=	10	X \$ 18.00	\$	18.00
INDEPENDENT	3 - 3=	0	X \$ 78.00	\$	0.00
Multiple dependent claims(s) (if applicable)			+ \$260.00	\$	0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	878.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	0.00
<b>SUBTOTAL =</b>				\$	878.00
Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
<b>TOTAL NATIONAL FEE =</b>				\$	878.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <div style="text-align: right;"><b>\$40.00 per property +</b></div>				\$	0.00
<b>TOTAL FEES ENCLOSED =</b>				\$	878.00
				Amount to be: refunded \$ _____ charged \$ _____	

ATTORNEY'S DOCKET NO: 24406

U.S. APPLICATION NO. Not yet assigned <b>09/673473</b>	INTERNATIONAL APPLICATION NO. <b>PCT/CA99/00311</b>	DATE: <b>16</b> October 2000 ( <b>16</b> .10.2000)
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a. ☒ One check in the amount of \$878.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$\_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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Rev. 02/98